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West Virginia Ethics Commission

Disclosure of Interested Parties to Contracts

(Required by W. Va. Code § 6D-1-2)

WV Ethics Commission

Name of Contracting Business Entity: Dougherty Company, Inc. Address: P.O. Box 1828 Charleston, WV 25327

Name of Authorized Agent: Brian W. Smith Address: Charleston, WV

Contract Number: CPO GSD210000011 Contract Description: WV Bldg 1 West Wing Fan Coil Replacement

Governmental agency awarding contract: State of WV

Check here if this is a Supplemental Disclosure

List the Names of Interested Parties to the contract which are known or reasonably anticipated by the contracting business entity for each category below (attach additional pages if necessary):

1. Subcontractors or other entities performing work or service under the Contract

- Check here if none, otherwise list entity/individual names below. Cornerstone Electric, Agsten Construction, Trane

2. Any person or entity who owns 25% or more of contracting entity (not applicable to publicly traded entities)

- Check here if none, otherwise list entity/individual names below. Brian W. Smith, James L. Smith

3. Any person or entity that facilitated, or negotiated the terms of, the applicable contract (excluding legal services related to the negotiation or drafting of the applicable contract)

- Check here if none, otherwise list entity/individual names below.

Signature: Brian W. Smith Date Signed: 10/14/2020

Notary Verification

State of West Virginia, County of Kanawha

I, Brian W. Smith, the authorized agent of the contracting business entity listed above, being duly sworn, acknowledge that the Disclosure herein is being made under oath and under the penalty of perjury.

Taken, sworn to and subscribed before me this 14th day of October, 2020.

Mary Ruth Bowe Notary Public's Signature

To be completed by State Agency:

Date Received by State Agency: 10/15/2020 Date submitted to Ethics Commission: OCT 23 2020 Governmental agency submitting Disclosure: DOT - Purchasing CPO GSD21\*11 PF 753053

