

Disclosure of Interested Parties to Contracts

(Required by W. Va. Code § 6D-1-2)

Received
SEP 28 2018
WV Ethics Commission

Contracting Business Entity: Sterling Capital Management Address: 4350 Congress St, Suite 100
Charlotte, NC 28209

Authorized Agent: Scott Haenni Address: _____

Contract Number: _____ Contract Description: _____

Governmental agency awarding contract: West Virginia Board of Treasury Investments

Check here if this is a Supplemental Disclosure

List the Names of Interested Parties to the contract which are known or reasonably anticipated by the contracting business entity for each category below (attach additional pages if necessary):

1. Subcontractors or other entities performing work or service under the Contract

Check here if none, otherwise list entity/individual names below.

2. Any person or entity who owns 25% or more of contracting entity (not applicable to publicly traded entities)

Check here if none, otherwise list entity/individual names below.

3. Any person or entity that facilitated, or negotiated the terms of, the applicable contract (excluding legal services related to the negotiation or drafting of the applicable contract)

Check here if none, otherwise list entity/individual names below.

Signature: [Signature] Date Signed: May 8, 2018

Notary Verification

State of NC, County of Bedford:

Scott Haenni, the authorized agent of the contracting business entity listed above, being duly sworn, acknowledge that the Disclosure herein is being made under oath and under the penalty of perjury.

Taken, sworn to and subscribed before me this 8th day of May, 2018.

[Signature]
Notary Public's Signature

To be completed by State Agency:

Date Received by State Agency: 5.9.18

Date submitted to Ethics Commission: 9.27.18

Governmental agency submitting Disclosure: WV Board of

Treasury Investments