West Virginia Ethics Commission

JUN 29 2018

Disclosure of Interested Parties to Contracts Gemmission

(Required by W. Va. Code § 8D-1-2)

Contracting Business Entity: Dougherty Company, Inc. Address: P.O. Box 1828	
Charleston, WV 25327	
Authorized Agent: Brian W. Smith Address: Charleston, WV	
Contract Number: CRF0-COR1800000025 Contract Description: Replacement of Rooftop Units at Mt. 01 Correctional Center Governmental agency awarding contract: Division of Corrections	ive
☐ Check here if this is a Supplemental Disclosure	
List the Names of interested Parties to the contract which are known or reasonably anticipated by the contracting business entity for each category below (attach additional pages if necessary):	
1. Subcontractors or other entities performing work or service under the Contract Check here if none, otherwise list entity/individual names below. Kalkreuth Roofing Johnson Controls Cornerstone Electric HABCO	
2. Any person or entity who owns 25% or more of contracting entity (not applicable to publicly traded entities) [] Check here if none, otherwise list entity/individual names below. James L. Smith Brian W. Smith	
3. Any person or entity that facilitated, or negotiated the terms of, the applicable contract (excluding legal services related to the negotiation or drafting of the applicable contract) [2] Check here if none, otherwise list entity/individual names below. Signature:	
Notary Verification	
State of West Virginia , County of Kanawha :	
1, Brian W. Smith the authorized agent of the contracting business entity listed above, being duly swom, acknowledge that the Disclosure herein is being made under oath and under the penalty of perjury.	
Taken, swom to and subscribed before me this 25th day of June .2018.	
Notary Public's Signature To be completed by State Agency: Date Received by State Agency: Date submitted to Ethics Commission: Date submitted to Ethics Commission: DATE JUN 29 2018 Governmental agency submitting Disclosure: DATE TO PF 45 2 101 Notary Public's Signature OFFICIAL SEAL. STATE OF WEST VIRGINIA NOTARY PUBLIC LINDA K. BARIA DOUGHERTY COMPANY INC. 600- 501 F. J. S.E., P. O. BOX 1828 600- 501 F. J. S.E., P. O. BOX 1828 My commission expires Adjust 14, 2020 My commission expires Adjust 14, 2020	